

10

2661  
#2  
032502

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
ATTY. DOCKET NO. 78945-19

In re Patent Application of PAUL D. OBEDA ET AL

Serial No. 09/963,501

Group Art Unit: 2661

Filed: SEPTEMBER 27, 2001

Examiner:

For: TOPOLOGY DISCOVERY IN OPTICAL WDM NETWORKS



**INFORMATION DISCLOSURE STATEMENT**

**RECEIVED**  
**FEB 25 2002**  
Technology Center 2600

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This information disclosure statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

- i) each United States and foreign patent;
- ii) each publication or that portion which caused it to be listed; and
- iii) all other information or that portion which caused it to be listed, excluding any copies of a United States patent application.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,

PAUL D. OBEDA ET AL

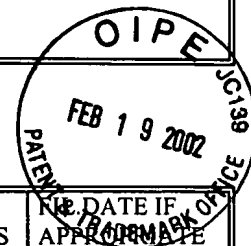
Dated: February 14, 2002



James McGraw  
Reg. No. 28,168  
Smart & Biggar  
Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Ontario  
Canada K1P 5Y6  
Telephone: (613) 232-2486  
Fax: (613) 232-8440

Encls.: Form PTO-1449  
All references listed on Form PTO-1449  
Acknowledgement Card

<b>Form PTO-1449 (Modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)	Atty. Docket No. 78945-19	Serial No. 09/963,501
	Applicant PAUL D. OBEDA ET AL	
	Filing Date Sept. 27/01	Group 2661



### REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	RE. DATE IF APPROPRIATE
	AA	5	7	2	7	1	5	7	03/10/98	ORR ET AL	395	200.54	
	AB	5	7	2	9	6	8	5	03/17/98	CHATWANI ET AL	395	200.11	
	AC	5	7	9	6	7	3	6	08/18/98	SUZUKI	370	399	
	AD	5	8	9	2	6	0	6	04/06/99	FATCHI ET AL	359	177	
	AE	6	1	0	8	1	1	3	09/22/00	FEE	359	124	
	AF	6	1	0	8	7	0	2	08/22/00	WOOD	709	224	

**RECEIVED**

**FEB 25 2002**

Technology Center 2600

### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
													YES	NO

### OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	

EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.